

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: § Group Art Unit: 2185
Amanat, O. *et al.* § § RECEIVED
Serial No.: 09/643,227 § § NOV 06 2000
Filing Date: August 22, 2000 § § OFFICE OF PETITIONS
Title: APPARATUS AND METHOD FOR § § Examiner: *Unassigned*
ADDING LIQUIDITY TO AN ECN § §
AND IMPROVING EXECUTIONS § §
OF ORDERS FOR SECURITIES § §
Docket No.: T30364US

Box Petition
Commissioner of Patents
Washington, D.C. 20231

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Petition, Assistant Commissioner for Patents, Washington, D.C. 20231, on the date of signature below.

Signature

Date

Penney M. Lewis
110-2-00

TRANSMITTAL LETTER

Enclosed for filing in the above-identified application are the following documents:

- Petition for Acceptance of Filing Date;
- Preliminary Amendment;
- Copy of Figures 8A and 9 as filed on August 22, 2000;*
- Check in the amount of \$130.00; and
- Return-receipt postcard.

The Commissioner is hereby authorized to charge Deposit Account No. 01-2511 for any additional fees required under 37 C.F.R. 1.17(i). A duplicate copy of this transmittal is enclosed for this purpose.

Respectfully submitted,

Date: 11. 2. 2000

By:


John Biggers
Reg. No.: 44,537
Arnold & Associates
2603 Augusta, Suite 800
Houston, Texas 77057
Tel: (713) 972-1150
Fax: (713) 972-1180
ATTORNEY FOR APPLICANTS